JCO2 Rec'd PCT/PTO 06 MAY 2005

PTO-1390 (Rev. 02-2005) Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

ATTTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 0470-051409 DESIGNATED/ELECTED OFFICE (DO/EO/US) see 37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/NL2003/000782 07.11.2003 (November 07, 2003) 08.11.2002 (November 08, 2002) TITLE OF INVENTION Synthesis of Estetrol via Estrone Derived Steroids APPLICANT(S) FOR DO/EO/US Mark Theodoor VERHAAR, Thomas KOCH and Erwin Gerardus Jacobus WARMERDAN Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 1/ The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 1 Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. b. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98.

An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

A preliminary amendment.

An Application Data Sheet under 37 CFR 1.76.

A substitute specification.

A power of attorney and/or change of address letter.

A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.

18. A second copy of the published International Application under 35 U.S.C. 154(d)(4).

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A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

Other items or information: Notification of Recording of a Change (PCT/IB/306) (2 pp.)

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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U.S. APPLICATION NO (if Impwn, see 27 OFR 1.5)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER				
U.S. APPLICATION NO 5 34 079 SR 1.5)		PCT/NL2003/000782		0470-051409				
The following fees have been submitted						LCULATIONS	PTO USE ONLY	
21. Pasic national fee			•••••	\$300	\$	300.00		
PCT Article 33(1)	tion fee ninary examination report -(4).	\$	200.00					
23. Search fee								
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority						400.00		
TOTAL OF 21, 22 and 23 =						900.00	·	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.								
Total Sheets E			dditional 50 or fraction to a whole number)	RATE		0		
- 100 =	- 100 = /50 =			x \$250	\$			
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).						130.00		
CLAIMS	NUMBER FILED		NUMBER EXTRA	RATE	\$	······		
Total claims	42 - 20	=	22	x \$50	\$	1,100.00		
Independent claims	9 -:	=	6	× \$200	\$	1,200.00		
MULTIPLE DEPEND	ENT CLAIM(S) (if applical	le)		+ \$360	\$	0		
	TOTAL OF ABOVE CACULATIONS =							
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.						0		
		\$	3,330.00					
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).						0		
TOTAL NATIONAL FEE =						3,330.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +						0		
TOTAL FEES ENCLOSED =						3,330.00		
						ount to be inded:	\$	
						ount to be rged:	\$	
a. A check in the amount of \$ 3,330.00 to cover the above fees is enclosed.								
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.								
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No. <u>23-0650</u> . A duplicate copy of this sheet is enclosed.								
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.								
SEND ALL CORRESPONDENCE TO:							da	
William H. Logs	don		111					
Webb Ziesenheim Logsdon Orkin & Hanson, P.C. 436 Seventh Avenue, 700 Kennere Building. William H. L					ogsdon			
436 Seventh Avenue, 700 Koppers Building Pittsburgh, PA 15219-1818 NAME								
Telephone: (412) 471-8815 22,132								
Facsimile: (412) 471-4094 REGISTRATION						JMBER		

10/534079 JC20 Rec'd PCT/PTO 0 6 MAY 2005 Customer No. 28289

Application No. Not Yet Assigned

Paper Dated: May 6, 2005

In Reply to USPTO Correspondence of N/A

Attorney Docket No. 0470-051409

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.

Not Yet Assigned

Applicants

Mark Theodoor VERHAAR et al.

Filed

Concurrently Herewith

Title

SYNTHESIS OF ESTETROL VIA ESTRONE DERIVED STEROIDS

International Application

No. PCT/NL2003/000782

International Filing Date

07 November 2003

Priority Date Claimed

08 November 2002

MAIL STOP PCT Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

LETTER RECOGNIZING ATTORNEYS

Sir:

Enclosed are appropriate papers for initiating the national phase of the aboveidentified PCT application, comprising a specification and claims. A Preliminary Amendment is also enclosed.

Please accept the application for purposes of granting a filing date and recognize William H. Logsdon, Barbara E. Johnson and Paul M. Reznick, Registration Nos. 22,132, 31,198 and 33,059, respectively, as attorneys in this application, pending the filing of a formal Declaration and Power of Attorney.

Kindly direct all communications relating to this application to William H. Logsdon.

Respectfully submitted,

WEBB ZIESENHEIM LOGSDON ORKIN & HANSON, P.C.

William H. Logsdon, Reg. No. 22,132

Attorney for Applicants 700 Koppers Building 436 Seventh Avenue

Pittsburgh, PA 15219-1818 Telephone: 412/471-8815 Facsimile: 412/471-4094

202 Rec'd PCT/PTO 0.6 MAY 2005 10/534079 PATE APPLICATION/PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:	ATTORNEY'S DOCKET NUMBER			
Mark Theodoor VERHAAR, Thomas Koch and Erwin Gerardus Jacobus WARMERDAN	0470-051409			
PCT/NL2003/000782	•			
ENTITLED				
"SYNTHESIS OF ESTETROL VIA EST	TRONE DERIVED STEROIDS"			

To MAIL STOP PCT
Attention: DO/EO/US
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

EXPRESS MAIL CERTIFICATE

"Express Mail" Label Number <u>EL971224139US</u>

Date of Deposit May 6, 2005

I hereby certify that the following attached papers or fees

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/
ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER
35 U.S.C. 371 (original and two (2) copies) and check in amount
of \$3,330.00 for filing fee and surcharge fee for late Declaration
LETTER RECOGNIZING ATTORNEYS
PRELIMINARY AMENDMENT
WO 2004/041839 – FRONT PAGE with ABSTRACT, SPECIFICATION,
CLAIMS and SEARCH REPORT (36 pp.)
NOTIFICATION OF RECORDING OF A CHANGE (PCT/IB/306) (2 pp.)

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA, 22313-1450.

Nora Ann Pastrick
(Typed name of person mailing paper or fee)

The August Castrick

Signature of person mailing paper or fee)